

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Codd, et al

Title:

Method and Compositions For Treating Anxiety

Application No.:

10/721,923

Filing Date:

November 25, 2003

Examiner/Unit:

Jennifer M. Kim/1617

Attorney Docket No.: DOVP-1-1001 (Formerly 2370-010-03)

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this communication, and any document being attached hereto,

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Susan M. Worker Susan M. Wanger

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97

TO THE COMMISSIONER FOR PATENTS:

In compliance with the duty of disclosure under 37 CFR § 1.56, Applicant submits herewith patents, publications, or other information for consideration during the examination of this application.

In accordance with 37 CFR § 1.97, the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made nor that the information cited in the statement is or is considered to be "material" to patentability as defined in 37 CFR § 1.56(b).

No fees are believed to be due in connection with the filing of this Information Disclosure Statement, however, should any fees be deemed necessary, the

Commissioner is hereby authorized to deduct any necessary fees from Deposit Account No. 50-1050.

Copies of the foreign patent documents and non-patent literature documents cited in the attached form PTO SB-8a and 8b are attached.

Date: May 11, 2006

Respectfully submitted,

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PTO/SB/08b(08-03)

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Substitute for form 1449B/PTO Complete if Known Application Number 11/721,923 INFORMATION DISCLOSURE 11/25/03 Filing Date STATEMENT BY APPLICANT First Named Inventor Russell, et al 1617 Art Unit (Use as many sheets as necessary) Examiner Name Jennifer M. Kim Attorney Docket Number DOVP-1-1001 (F:2370-010-03) 2 of Sheet

		NON PATENT LITERATURE DOCUMENTS	1
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Т:
		CHILMAN-BLAIR, K., et al: "Ocinaplon: Treatment of Generalized Anxiety Disorder GABAA Receptor Modulator: DRUGS OF THE FUTURE, Vol. 28, No. 2, (2003), pp. 115-120.	
		SELLERI, S., et al: "Synthesis, Benzodiazepine Receptor Affinity And In Vivo Testing of 3-aryl-4,7-dihydro-6-(N1'-alkylpyrazol-3'-or 5'-yl)pyrazolo'1, 5-a1pyrimidin-7-ones" EUROPEAN JOURNAL OF MEDICINAL CHEMISTRY, Vol. 32, 1997, pp. 942-953	
		SERRERI, S., et al: "New 2,3-Substituted 4,7-Dihydro-6-(1'H-Pyrazol-3'-YL)Pyrazolo1,5-Apyr-Imidin-7-Ones and Related Compounds: Synthesis and Benzodiazepine Receptor Binding Study"	
		IL Farmaco, Rome, IT, Vol. 50, No. 10, 1995, pp. 679-687	
		VANOVER, et al., "Discriminative Stimulus And Anxiolytic-Like Effects of the Novel Compound CL 273, 547. EXPERIMENTAL AND CLINICAL PSYCHOPHARMACOLOGY, Vol. 2, No. 3 (1994) pp. 225-233, Abstract.	
		International Search Report (PCT/ISA/220) April 19, 2004	
	-		

Examiner		Date	
Signature		Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance

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Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 2

Complete if Known					
Application Number	10/721,923				
Filing Date	11/25/03				
First Named Inventor	Russell, et al				
Art Unit	1617				
Examiner Name	Jennifer M. Kim				
Attorney Docket Number	DOVP-1-1001 (F:2370-010-03)				

U.S. PATENT DOCUMENTS					
Examiner Initials *	Cite No.1	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1.	US-4,521,422	06/04/1985	Dusza, et al	
	2	US- 4,626,538	12/02/1986	Dusza, et al	
		US- 2002/0198221 A1	12/26/2002	Dusza, et al	
		US-			

	FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant	
		Country Code ³ - Number ⁴ - Kind Code ⁵ (<i>if known</i>)	Date MM-DD-YYYY		Passages or Relevant Figures Appear	. T ⁸
		WO 02/076987 A1	10/03/2002	Li, et al		
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